



Empower Your Money

DP ID

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 Client ID

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Empower Your Money

R.K. STOCKHOLDING (P) LTD.

(Depository Participant - CDSL) DP ID 12041800

SEBI Regn. No. : IN-DP-CDSL-326-2006

Registered Office :

R.K. House, Neelkanth Marg, Civil Lines, MORADABAD-244001

PH.: 0591-2415222, 2417222 Fax : 0591-2412282

Corporate Office :

A-7, Block B-1, Mohan Co-Operative Ind. Estate,
Mathura Road, NEW DELHI-110044

PH.: 011-40564444 Fax : 011-40564444

E-mail : enquiry@rkfml.com URL : www.rkfml.com

APPLICATION FORM FOR OPENING A DEMAT ACCOUNT

CORPORATE / CLEARING MEMBERS

Internal Ref. No. _____

Holder's Name _____

Branch Code and Name _____



Depository Account Opening Documents - Checklist (Clearing Member / Corporate)

Name of the Document	Submitted	Not Required
1. Account Opening Form	<input type="checkbox"/>	
2. Depository Agreement*	<input type="checkbox"/>	
3. SEBI Certificate for clearing member	<input type="checkbox"/>	<input type="checkbox"/>
4. Memorandum & Articles of Association for Corporates	<input type="checkbox"/>	<input type="checkbox"/>
5. Board Resolution For Corporates	<input type="checkbox"/>	<input type="checkbox"/>
6. Specimen Signatures List	<input type="checkbox"/>	
7. Fax Indemnity (Optional) <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Passport Size Photograph of each Authorised Signatory	<input type="checkbox"/>	
9. List of Directors	<input type="checkbox"/>	
10. Personal Identification Proof of all the Authorised Signatory	<input type="checkbox"/>	
11. Form 32 / 18	<input type="checkbox"/>	
12. Copy of PAN Card	<input type="checkbox"/>	
13. Address proof of Registered & Correspondence address	<input type="checkbox"/>	

* On Rs. 50/-, Stamp Paper

Fax Indemnity on Rs. 200/- Stamp Paper

Instruction for Applicants

1. If the Clearing member is a Sole Proprietor or an individual, then the Corporate Account Opening Form Should be filled in.
2. Signatures can be English, Hindi or any of the other languages contained in the 8th schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
3. Details of the Names, Address and Tel. No. etc of the Magistrate/Notary Public/Special Executive Magistrate are to be provided in case of any attested done by them.
4. In case of additional signatures, separate annexures should be attached to the application form.
5. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, Name of POA, Signature of the POA must be lodged with the DP alongwith the application.
6. All correspondence / queries shall be addressed to the **First / Sole Applicant** only.
7. Strike off whichever is not applicable.

Copy to CDS in case of clearing member.

: Yes/No

Date _____

For Office Use Only

Detail entered in the system

: Yes / No

Date _____

Intimation sent to CM/Investor

: Yes / No

Date _____

Name of the Officer

: Signature _____



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E-mail : enquiry@rkfml.com URL : www.rkfml.com

(Depository Participant - CDSL) DP ID 12041800
SEBI Regn. No. : IN-DP-CDSL-326-2006

APPLICATION FORM FOR OPENING A DEMAT ACCOUNT (FOR CORPORATE / CLEARING MEMBERS ONLY)

Please fill all the details in **BLOCK LETTERS** in English

Date

Account No.

I/We request you to open Demat Account in my/our name as per the following details :

(To be filled by R.K. Stockholding (P) Ltd.)

Type of Account (Please tick whichever is applicable)

Status*	Sub-Status
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutal Fund <input type="checkbox"/> FII <input type="checkbox"/> OCB <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Others, please specify	To be filled by R.K. Stockholding (P) Ltd.

Sole / First Holder's Details

Name			
Search Name			
Correspondence Address			
City	State		
Country	PIN	<input type="text"/>	<input type="text"/>
Telephone No.	Fax No.		
PAN			
E-mail ID			
Registered office address (if different from Correspondence Address)			
City	State		
Country	PIN	<input type="text"/>	<input type="text"/>
Telephone No.	Fax No.		
E-mail ID			
Date of Incorporation			
SEBI Registration No. (If applicable)	SEBI Registration Date	<input type="text"/>	<input type="text"/>
ROC Registration No. (If applicable)	ROC Registration Date	<input type="text"/>	<input type="text"/>
RBI Registration No. (If applicable)	RBI Registration Date	<input type="text"/>	<input type="text"/>
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (Specify _____)		

Waiver from Confirmation for Credits	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Annually <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annually

Do you wish to receive dividend / interest directly into your bank account given below through ECS? Yes No

Bank Details* (in future dividends may be credited in this account)

Bank Account No.	Account Type
Bank Name	
Branch Address	Pin Code <input type="text"/>
9-Digit Code Number of the Bank and branch appearing on the MICR cheque issued by the Bank <input type="text"/>	

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address, of the BO and not more than 4 months old, (or)
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- * In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.





Clearing Member's Details (To be filled by CMs only)

Name of the Stock Exchange	
Name of the CC / HH	
Clearing Member ID	
CM Name	
SEBI Registration No. Date	

Name*

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

For OCBs (only if the Sole / First holder is an OBC)

Foreign Address											
City						State					
Country						PIN					
Telephone No.						Fax No.					
E-mail ID											
Indian Address											
City						State					
Country						PIN					
Telephone No.						Fax No.					
E-mail ID											
Currency											
RBI Reference No.						RBI Approval Date					

Other Holder's - Second Holder Details

Second Holder's Name																	
Father/Husband Name																	
Title	<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Miss	<input type="checkbox"/> Other	Suffix				Sex	<input type="checkbox"/> Male	<input type="checkbox"/> Female						
Permanent Address																	
City					State				Country			PIN					
Mobile No.					Tel. No.				Fax No.								
PAN						E-mail ID											
Date of Birth									Nationality	<input type="checkbox"/> Indian	<input type="checkbox"/> Others (Specify)						
Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public/Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body }																
	<input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify).....																
Nature of Business : (Products/services provided)																	

Other Holder's - Third Holder Details

Third Holder's Name																	
Father/Husband Name																	
Title	<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Miss	<input type="checkbox"/> Other	Suffix				Sex	<input type="checkbox"/> Male	<input type="checkbox"/> Female						
Permanent Address																	
City					State				Country			PIN					
Mobile No.					Tel. No.				Fax No.								
PAN						E-mail ID											
Date of Birth									Nationality	<input type="checkbox"/> Indian	<input type="checkbox"/> Others (Specify)						
Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public/Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body }																
	<input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify).....																
Nature of Business : (Products/services provided)																	





Mode of Operation (In case of joint holdings all the holders must sign.)

- Anyone Singly
- Jointly by _____
- As per Resolution _____
- Others (please specify) _____

I/We have read the DP-BO agreement, the schedule thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/ Sole Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Signature (With Black Ink)			

Designation			
	First Holder	Second Holder	Third Holder
Passport Size Photograph	Passport size photograph (please Sign across the photograph)	Passport size photograph (please Sign across the photograph)	Passport size photograph (please Sign across the photograph)

SMS Alert Facility	<input type="checkbox"/> Yes	MOBILE NO.: +91..... Refer to Terms & Conditions given as Annexure - A	<input type="checkbox"/> No
<i>easi</i>	<input type="checkbox"/> Yes	Yes, If yes, please contact your DP for details [Facility through CDSL's website : www.cdslindia.com wherein a BO can view his ISIN balances, transactions, and value of the portfolio online]	<input type="checkbox"/> No



Agreement Between A Participant And A Person Seeking To Open A Beneficial Owner's Account

This Agreement made and entered into at _____ this _____ day of _____ 20_____ between **R.K. STOCKHOLDING (P) LTD.** having its registered office at **R.K. House, Neelkanth Marg, Civil Lines, Moradabad-244001** (hereinafter called "**The Participant**") of the One part. AND _____ having his/its office/registered office/address at _____



_____ hereinafter called "the Beneficial Owner" of the Other Part

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded herein below :

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS :

General Clause

- The parties hereto agree to abide by the provisions of the Depositories Act. 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

Fees, Charges and deposits

- The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid ¹[not exceeding 18%] per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

Statement of Account

- The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each ¹[quarter] Such statement shall be in the form specified in Operating instructions. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

Beneficial Owner shall intimate change of particulars

- The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

Participant not liable for claims against Beneficial Owner

- CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

Authorised Representative

- Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Closure of Account

- The Beneficial Owner, may at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

Stamp Duty

- Any stamp duty (including interest or penalty levied thereon) payable on

Force Majeure

- Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to cause reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism of vandalism, sabotage or intrusion, or any other irresistible force or compulsion.

Service of Notice

- Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

Arbitration

- The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye-Laws.

Jurisdiction

- The parties hereto agree to submit to the exclusive jurisdiction of the courts in Delhi.

Governing Law

- The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

IN CASE OF JOINT HOLDING, ALL SIGNATORY MUST SIGN.

Signed and delivered by

- _____
- _____
- _____

[For and on behalf of the Client(s)]

Signature of Witness : _____

Name : _____

Address : _____

Signed and delivered by

(for and behalf of R.K. Stockholding (P) Ltd.)

Signature of Witness : _____

Name : _____

Address : **R.K. Stockholding (P) Ltd.**

R.K. House, Neelkanth Marg, Civil Lines, Moradabad-244001



Empower Your Money

UNDERTAKING CUM INDEMNITY - IN RESPECT OF FACSIMILE INSTRUCTION FOR OPERATION OF DEPOSITORY ACCOUNT

On Rs. 200/- Stamp Paper

Sr. No.	BO Ids	Names of Holders (s)	
1.		First Holder	
		Second Holder	
		Third Holder	

I/We 1.
residing at

execute this UNDERTAKING CUM INDEMNITY in favour of **R.K. STOCKHOLDING (P) LTD.** (a company incorporated and registered under the Companies Act, 1956) and having its Registered Office at **R.K. House, Neelkanth Marg, Civil Lines, Moradabad-244001** hereinafter called "the DP" (which expression shall unless it be repugnant to the context or meaning thereof mean and include its successors in title) as follows :

WHEREAS I/We maintain a Beneficial Owner Account (s) ("the said Account") mentioned above, with the DP at its main/branch office, located at

..... As per the instructions for operation of the said Accounts, the same is allowed to be operated by us or the persons authorised, in that behalf (hereinafter referred to as "Authorised Person/s").

AND WHEREAS in the day to day business, I am/we are required to give urgent instructions to the DP for operation of the said/account :

WHEREAS the DP has agreed to accept, the original instruction slip, a fax submission thereof signed by the authorised persons to operate the said account under their signatures, subject to the indemnity herein offered by me/us to the DP on the terms and conditions herein mentioned.

NOW IN CONSIDERATION OF THE ABOVE, I/We hereby irrevocably agree, confirm and undertake to the DP as follows :

- I/We shall transmit the Fax instructions only to the fax number informed/provided to us by the DP.
- The Fax submission shall be signed by the Authorised Person/s mentioned in the List of Authorised Signatories submitted by me/us in the account opening form on behalf of me/us and their signatures shall be in the same manner and way as has been informed to the DP by me/us and the DP is hereby requested and authorised, (but is not obliged to) rely upon and act, in accordance with such Fax Submission which is signed or bonafide believed by the DP to have been signed, by the Authorised Person/s.
- The DP is requested by me/us and shall be entitled to treat any fax Submissions as fully and dully authorised by and binding upon me/us and further be entitled (but not bound) to take any steps relying upon the same, believing the Fax Submission, in good faith as appropriate, regardless, of the amount of money involved and notwithstanding any error in transmission or reception of such Fax Submission or any misunderstanding or ambiguity or lack of clarity in the terms of such fax Submission.
- I/We shall upon making any Telefax Submission hereunder, deliver to the DP without any delay within two working days, the original hard copy of the Fax Submission (the Hardcopy) signed by the Authorised Person's as aforesaid. Each Hardcopy shall bear the following words on the top of the Instruction slip -
"This is a Hardcopy Each of Fax Submission to you, transmitted on
day of month, year.
- The DP may, but shall not be obliged to, await receipt of the Hard copy prior to taking any action in connection with the Fax Submission and shall not be obliged to follow-up with me/us for the originals. Further the storage of a photocopy of the Fax transmission sent by me/us shall be conclusive evidence of instruction to the DP for having acted on such instructions and I/We hereby agree and acknowledge the same".

 _____



6. I/We hereby agree that in case the DP does not receive the hard copy of the instruction within 2 working days, the DP may discontinue the said facility. In such cases the DP will do so with immediate effect after informing me orally / in writing / by fax. I/We undertake that I/We shall not hold the DP liable for any loss to me/us in case the DP does not act on fax instruction received by the DP in such a case.
7. The DP shall not be required to confirm(whether orally, in writing or otherwise) any fax submission or (verify the Identify of the Authorised person/s or his/her/their signature/s making or giving the fax submission or purporting to do so.
8. The DP shall be under no duty to set and/or adopt any procedure for the purpose of such confirmation or verification and if at all there is any, the DP shall not be obliged to strictly adopt or comply with the same in any or every instance.
9. The DP shall not be liable for any losses or damages which I/We may suffer as a consequence of the DP acting in accordance with or in reliance upon, any Fax Submission or otherwise pursuant to the authority conferred herein, upon the DP.
10. I/We shall indemnify the DP and CDSL and keep them indemnified and save harmless, at all times against any and all claims, losses, damages, costs liabilities and expenses incurred, suffered or paid or incurred by the DP or required to be incurred, suffered or paid by the Dp and also against all demands, actions, suit proceedings made, filed / instituted against the DP, in connection with or arising out of or in relation to or as a consequence of :
 - i. The DP acting pursuant to, in accordance with or relying upon, any Fax submission or otherwise pursuant to the request and authority conferred herein and/or;
 - ii. The DP acting pursuant to in accordance with or relying upon any Fax Submission received by the DP which it believes in good faith to be such a fax Submission; and/or;
 - iii. Any unauthorised or fraudulent Fax Submission to the DP. PROVIDED that this indemnity shall not be available to the DP, if the liabilities for which the DP seeks indemnification hereunder, arises directly and completely from its own negligence or willful default.
 - iv. The DP not having acted on the Fax submission due to non-receipt of the transmission or receipt of incomplete or partly / fully unreadable transmission.
 - v. The DP not having acted on any Fax submission sent by me/us on a number other than the number mentioned by the DP for the purpose of fax transmission.
11. The DP shall not be under any obligation at any time to maintain any facility for the receipt of any Fax Submission or to ensure the continued operations or availability of any such facsimile facilities or equipment, and/lwe shall not hold the DP liable for any loss consequent to non-availability of the said facility.
12. I/We acknowledge and confirm that I am/we are aware that by the very nature of telecommunications services, the Fax transmissions may not be received properly and may be clearly legible. I/We agree to assume and bear all the risks involved in respect of such errors and misunderstanding and the DP shall not be responsible in any manner for the same or breach of confidentiality thereto and shall also not be liable for any claims, loss, damage, cost or expense and liability arising therefrom :
13. This indemnity is without prejudice to the DP's other rights, privileges, powers, and remedies in law and the DP may delay enforcing its rights without at any time losing them and any waiver of a right by the Dp hereunder or available to it by law, shall not be deemed to be a waiver of any other rights or of the same right at another time.
14. The DP may stop/terminate this facility given to me/us by giving seven day prior written notice to me/us. However, any such termination shall not affect anything done or any rights or liabilities accrued prior to the termination and all the above indemnities given by me/us to the DP hereunder shall survive any such termination. However in case of termination as mentioned in point 6 above, no such notice need to be given by the DP.

The Provisions relating to arbitration contained in the agreement executed by us in favour of the DP shall be applicable to any dispute or difference that may arise in respect of such fax transmission including all disputes with regard to the receipt of the fax Transmission by the DP.

I/We specifically agree and confirm that regardless of the place from which the fax transmission in question may have been transmitted to the DP any matter or issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws and shall be subject to the exclusive jurisdiction of the Courts of Mumbai (India) alone.

Dated at this day of

Given by

First Authorised Signature

Second Holder Signature

Third Holder Signature

Witness - I

Witness - II

Witness - III



POWER OF ATTORNEY POA FOR PAY-IN OF SECURITIES FOR THE PURPOSE OF SETTLEMENT

(To be executed on Stamp Paper of Rs. 100/-)

Broking Code

To all to whom these presents shall come I/we,(name of the BO) Indian inhabitant(s) send greetings.

Whereas I/We hold a Beneficiary account no(s).....(BO-ID) with Central Depository Services (India) Limited, through R.K. Stockholding (P) Ltd. (name of the Depository Participant bearing DO-ID-12041800.

And whereas I/We am/are an investor(s) engaged in buying and selling of securities through R.K. Stockholding (P) Ltd. (name of the Clearing Member), a member of BSE Limited, bearing SEBI Registration No. INB 011231033 /and Member of NSE Ltd. bearing SEBI Regn. No. INB 231231037

And Whereas due to exigency and paucity of time, I/We am/are desirous of appointing an agent/attorney to operate the aforesaid beneficiary account(s) on my/our behalf for a limited purpose in the manner hereinafter appearing.

NOW KNOW YOU ALL THE THESE PRESENTS WITNESSTH THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE AND APPOINT R.K. Stockholding (P) Ltd. (Name of the attorney / Clearing Member) as may/our true and lawful attorney(s) (hereinafter referred to as the attorney) for me/us and on my/our behalf in my/our name to do instruct the aforesaid Depository Participant to debit securities and/or to transfer securities from the aforesaid account(s) for the purpose of delivering the same to the clearing house of the recognized stock exchange towards any segment in respect of securities sold by me/us through them.

This authority is restricted to the pay-in obligations arising out of the transactions of sale effected by me/us through R.K. Stockholding (P) Ltd. (Name of Clearing Member) and I/We ratify the instruction given by the aforesaid Clearing Member to the Depository Participant named hereinabove in the manner specified herein.

The Authority here by conjected shall be irrevocable untill all amount of principal interest and other charges due to R.K. Stockholding (P) Ltd. "To Be Paid in Full"

Signed and delivered

By the within named Beneficial Owner(s)

1.}
(Name of First Holder)

⊗

2.}
(Name of Second Holder)

⊗

3.}
(Name of Third Holder)

⊗

In the presence of (name & address of witness)

(Name of witness)

(Address)

⊗

(Sign of Witness)

Date :.....Place :.....}

I/We Accept

(Name, Address and Signature of the Attorney)

Signature

Signature

Signature

⊗

⊗

⊗

⊗



ANNEXURE - A
FORMAT OF BOARD RESOLUTION IN CASE OF CORPORATE

(To be obtained on pre-printed letterhead of the company)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED BY THE BOARD OF DIRECTORS
_____ LTD. AT THEIR MEETING HELD ON _____ AM/PM
AT THEIR REGISTERED OFFICE.

1. Resolved that a Corporate Beneficiary Account to be opened for depository purposes with R.K. Stockholding (P) Ltd., A-7, Block-B1, Mohan Cooperative Industrial Estate, Mathura Road, New Delhi-110044
2. Further resolved that Mr./Miss/Mrs. _____, Mr./Miss/Mrs. _____ and Mr./Miss/Mrs. _____ Director of the Company whose specimen signature are attested below be jointly / severally authorized to sign on behalf of the company, all documents and forms relating to such account (in relative debit or credit or otherwise) in such forms as may be required by R.K. Stockholding (P) Ltd. and further any instruction indemnities and counter indemnities which may be required by R.K. Stockholding (P) Ltd. from the company connection with the above mentioned account.
3. That this resolution be communicated to the R.K. Stockholding (P) Ltd. and remain in force until further notice in....to be given to the R.K. Stockholding (P) Ltd.

For _____ Ltd.

Chairman/Company Secretary

(Signature to be verified by the Banker)

Specimen Signatures of the Authorized Persons

Sr. No.	Name	Specimen Signature
1.
2.
3.
4.

The above signature to be attested by the person signing the resolution for account opening on behalf of the Company.



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SCHEDULE OF SERVICE CHARGES - CDSL (w.e.f. 1st Jan. 2005)

1.	ACCOUNT OPENING CHARGES	: Nil
2.	ACCOUNT MAINTENANCE CHARGES	: Rs. 740/- Per Annum (Paid in Advance)
3.	DEMATERIALISATION CHARGES	: Rs. 30/- + Rs. 2/- per Certificate
4.	REMATERIALISATION / REPURCHASE CHARGES	: Rs. 50/- per Request
5.	MKT/OFF MKT/INTERDEPOSITORY - DELIVERY	: RS. 12/- per Instruction
6.	PLEDGE	
	Creation	: Rs. 25/-
	Invocation / Closure	: Rs. 25/-

In addition to the above the following out of pocket expenses shall also be charged.

1. Instruction Book : Rs. 1/- per leaf.
2. Failed Instruction Intimation : Rs. 20/- per intimation.
3. Additional Statement of Holding/Transaction : Rs. 2/- per Page + Postage.
4. Non periodic statement & other communications shall be charged @ Rs. 20/- per mail.
5. Charges are subject to revision at Depository Participants sole discretion by giving 30 days notice and shall be informed by circulars sent by ordinary post/press advertisement/website/e-mail.
6. In case of demat rejection postage shall be charged @ Rs. 30/- for dispatch to the client for removal of objection.
7. Any other services not specified above shall be charged extra.
8. **Taxes and other government levies extra as applicable from time to time.**
9. Modification in client master - Rs. 20/- per modification
10. A Scheme can be upgraded at any time upon written request, which shall be effective from subsequent bills.
11. You may avail of hasslefree, paperless "Automated pay-in" facility by executing one time "POA" on stamp paper of Rs. 100/-

IN CASE OF JOINT HOLDING, ALL JOINT HOLDERS MUST SIGN.

⊗

1st Signature

⊗

2nd Signature

⊗

3rd Signature

ANNEXURE - A

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.



Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID	1	2	0	4	1	8	0	0								
	(Please write your 8 digit DPID)								(Please write your 8 digit Client ID)							

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent	+91													
--	-----	--	--	--	--	--	--	--	--	--	--	--	--	--

The mobile number is registered in the name of : _____

Email ID : _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signatures	_____	_____
	Sole/First Holder	Second Holder

Place : _____ Date : _____



Empower Your Money