



To,

R.K. Stockholding (P) Ltd.

Regd. Office: R.K. House, Neelkanth Marg, Civil Lines, Moradabad-244001

Corporate Office: A-7 Block B1, Mohan Co-op. Ind. Estate, Mathura Road, New Delhi-110044

Dear Sir,

Sub. : Right to exercise Set-Off / Lien and sharing of information.

I/We _____ an individual/ a sole proprietary concern/ a partnership firm/ a body corporate/ Trust registered/ incorporated, under the provision of the India Partnership Act, 1932 / the Companies Act/ 1955 or any relevant Act, having my / our residence / registered office at _____ (hereinafter referred to as the "Client").

That I/We, am/are a Client of R.K. Stockholding Pvt. Ltd. and have executed a Member - Client Agreement with the aforesaid company for investing / trading in securities / permitted for dealing on the respective Exchanges and also for investing/trading in those contracts admitted/ permitted for dealing on the Derivatives Market segment of the respective Exchanges.

That in respect to the aforesaid agreements, I/We hereby authorise R.K. Stockholding Pvt. Ltd. to exercise the right to set off the balances in my/our accounts with R.K. Stockholding Pvt. Ltd. in any segment/ exchange with respect to fund and securities, which R.K. Stockholding Pvt. Ltd. may hold on my/our account, shall be held subject to a general lien for the discharge of my/our obligation to R.K. Stockholding Pvt. Ltd. under these agreements. The right of lien and set-off conferred to R.K. Stockholding Pvt. Ltd. by me/us are as follows:

1. All securities in the demat account opened (if any) with the depository Participant (namely RKSHP), and in beneficiary A/c, shall be subject to lien for the discharge of any or all payment due to R.K. Stockholding Pvt. Ltd. from me/us or any other obligations to R.K. Stockholding Pvt. Ltd. and may be held by R.K. Stockholding Pvt. Ltd. as a security against default by me/us in respect of the services already availed of by me/us from R.K. Stockholding Pvt. Ltd.
2. The enforcement of the lien aforementioned shall be at the sole and complete discretion of R.K. Stockholding Pvt. Ltd.
3. I/We agree that R.K. Stockholding Pvt. Ltd. shall have the right of set-off amongst all trading account/(s) maintained by me/us with R.K. Stockholding Pvt. Ltd..
4. I/We agree that R.K. Stockholding Pvt. Ltd. may share the information provided by me/us pertaining to my trading and demat account to it's sister concerns/ group concerns/ associates as and when required.

Furthermore, the aforesaid lien/ set-off conferred on R.K. Stock holding Pvt. Ltd. shall subsist even after the termination of the aforesaid Member-Client Agreements with R.K. Stockholding Pvt. Ltd. until all obligations under the said agreements are satisfied fully by me/us.

Thanking your,

Your faithfully,

Signature of Client



Name of the Client _____

ADJUSTMENT OF BALANCE IN DEMAT ACCOUNT

To,

R.K. Stockholding (P) Ltd.

Date.....

Regd. Office: R.K. House, Neelkanth Marg, Civil Lines, Moradabad-244001

Corporate Office: A-7 Block B1, Mohan Co-op. Ind. Estate, Mathura Road, New Delhi-110044

Sir,

Reg.: Adjustment of Balances

Ref : Demat Account No..... Trading Account No.....

I/We am/ are maintaining Trading and Demat account with you, as referred to above, for smoothness of operations and minimizing unnecessary defaults, I/We hereby confer upon you full and unconditional authority to adjust credit balance in any of my/our above referred accounts for meeting any debit balance in the other account.

Thanks

Name of Client.....

Signature of Client

