

RKG/GEN/008
Effective from 15/11/08

SEBI REGISTRATION OF SUB-BROKER : A MANDOTRY REQUIREMENT

This is to remind you all that in pursuance of the SEBI (Stock Broker and Sub-Broker) Regulation, 1992, SEBI REGISTRATION of all franchisee / Branches is a mandatory requirement. Please note that you are not allowed to operate unless you are registered with SEBI.

So, if you are still not registered with SEBI, then you must get your franchisee registered till 30th Nov., 2008.

Please ensure that your franchisee gets registered during this period else we will be take some necessary actions which may include stopping the monthly incentives/brokerages also.

For any further clarification kindly contact Compliance Dept. at 011-40564444, ext no. 218-222.

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