DEMAT/TRADING A/C OPENING – SUPPLEMENTARY GUIDELINES

1) STAMP PAPER MANDATORY FOR COMMODITY TRADING ACCOUNT OPENING (w.e.f 12/10/07)

It is mandatory to attach a stamp paper of Rs.50 for Commodity account opening also. No account will be opened if the stamp paper is missing. The matter for the same will be as below:

THIS NON JUDICIAL STAMP PAPER OF RS. 50/- FORMS PART & ENCLOSED AGREEMENT BETWEEN R.K. COMMODITIES SERVICES (P) LTD. MEMBER COMMODITY MARKET OPERATIONS
AND
(CLIENT)
FOR R. K. COMMODITIES SERVICES (P) LTD.
(CLIENT)
Director/ Authorised Signatory

2) REVISED TEXT FOR STOCK MARKET OPERATIONS STAMP PAPER (Cash Market Account opening)

Please note that a common stamp paper of Rs. 50 for Stock market operations will be applicable for BSE as well as NSE. The matter for the same is as presented below:

THIS NON JUDICIAL STAMP PAPER OF RS. 50/- FORMS PART & ENCLOSED AGREEMENT BETWEEN R.K. STOCKHOLDING (P) LTD. MEMBER STOCK MARKET OPERATIONS AND
(CLIENT)
FOR R. K. STOCKHOLDING (P) LTD.
(CLIENT)
Director/ Authorised Signatory



3) DEMAT ACCOUNT OPENING MANDATORY w.e.f 15/10/07

Demat account opening is mandatory for all new clients. (Those submitting the new client registration forms) Please note that no trading accounts will be opened if any proof is missing/invalid or DP account opening formalities are incomplete.

4) CHANGE OF CLIENT DETAILS/ BROKERAGE/BRANCH w.e.f 12/10/07

Any changes in client details including brokerage, address, bank details or transfer of client account from one branch to another will be done only after receiving request from the client in writing. No verbal requests will be entertained in this regard.

5) NO ACCOUNT OPENING VIA CONTROL SHEET/FAX/E-MAIL w.e.f 01/11/07

Please note that no accounts will be opened before the completed forms and all supporting documents reach the Compliance/Account opening department. No control sheet/E-mail/Fax details will be acceptable for account opening w.e.f 1st November, 2007

