

### **: POLICY OF TREATING DORMANT ACCOUNTS:**

RKFML may carry out periodic review of the client accounts and may suspend the accounts from trading (i.e. prohibiting any market transaction, only allowing clients shares/Ledger statements to take place) under the following circumstances.

- ❖ Where the Client is inactive for the last six months.
- ❖ Where the client has not cleared his dues after repeated reminders.
- ❖ Where Physical statements, Contract Notes etc. are returned undelivered and the client is not responding to update in communication address.
- ❖ Where the client is reported or known to have expired.
- ❖ Where the client lodges a complaint either directly with RKFML or through the Exchange relating to alleged unauthorized trades being executed in his account.
- ❖ Where the account is under investigation by any regulatory body/Authority.
- ❖ As per the direction of the Exchange, SEBI or any other regulatory Body/Authority.
- ❖ On the written request received from the client.

On the request of the client in writing, the client account can be closed provided the account is settled. If the client wants to reactive the account in that case the client has to complete all the required formalities related to KYC requirement.

**R.K.Stockholding Pvt. Ltd.**